

02-08-02

DOCKET NO.: 176/60921 (2-11150-912)
EXPRESS MAIL NO.: EL801322437US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(*only for new nonprovisional applications under 37 CFR 1.53(b)*)

COMMISSIONER FOR PATENTS
Washington, D.C. 20231
BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 22 pages) of:

Inventors : **Lukas Novotny and Thomas G. Brown**

For : **A SYSTEM AND METHOD FOR HIGH RESOLUTION OPTICAL IMAGING,
DATA STORAGE, LITHOGRAPHY, AND INSPECTION**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No.

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☒ **7 sheets** of drawings.
- ☒ Petition To Accept Color Drawings Under 37 C.F.R. 1.84 (a)(2) (**2 pages**).
- ☐ **Signed** Combined Declaration and Power of Attorney (____ pages).
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney (____ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.

- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)
- ☐ Preliminary Amendment (_____ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (_____ pages) and _____ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (**2 pages**).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$370	OR	XXXX	\$740
TOTAL CLAIMS	52 - 20 =	32	x 9 =	\$288	OR	x 18 =	\$
INDEP CLAIMS	6 - 3 =	3	x 42 =	\$126	OR	x 84 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x140 =	\$	OR	x280 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$784	OR	TOTAL	\$

- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$784.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Gunnar G. Leinberg, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051

Date: February 7, 2002

Gunnar Leinberg
Gunnar G. Leinberg
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Telephone: (585) 263-1014
Facsimile: (585) 263-1600

EXPRESS MAIL CERTIFICATE

DOCKET NO. : 176/60921 (2-11150-912)

APPLICANTS : Lukas Novotny and Thomas G. Brown

TITLE : A SYSTEM AND METHOD FOR HIGH RESOLUTION
OPTICAL IMAGING, DATA STORAGE, LITHOGRAPHY,
AND INSPECTION

Certificate is attached to the **Utility Patent Application Transmittal Letter**
(2 pages) in duplicate of the above-named application.

"EXPRESS MAIL" NUMBER: **EL801322437US**

DATE OF DEPOSIT: **February 7, 2002**

I hereby certify that this paper or fee is being deposited with the United States
Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the
date indicated above and is addressed to the U.S. Patent and Trademark Office, Box 2327,
Arlington, VA 22202, **Box: Patent Application.**

Sherri A. Moscato

(Typed or printed name of person mailing
paper or fee)

Sherri A. Moscato

(Signature of person mailing paper or fee)

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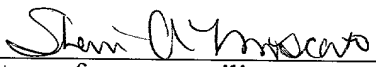
Certificate is attached to the **Drawings (7 pages)** and **Petition to Accept Color Drawings (2 pages)** of the above-named application.

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Sherri A. Moscato
(Typed or printed name of person mailing
paper or fee)


(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Lukas Novotny and Thomas G. Brown)	Examiner:
Serial No.	:	To Be Assigned)	To Be Assigned
Cnfrm. No.	:	To Be Assigned)	Art Unit:
Filed	:	Herewith)	To Be Assigned
For	:	A SYSTEM AND METHOD FOR HIGH)	
		RESOLUTION OPTICAL IMAGING,)	
		DATA STORAGE, LITHOGRAPHY, AND)	
		INSPECTION)	

PETITION TO ACCEPT COLOR DRAWINGS UNDER 37 C.F.R. 1.84 (a)(2)

Commissioner for Patents
Washington, D.C. 20231
Box: Patent Application

Dear Sir:

Pursuant to 37 CFR § 1.84(a)(2), Applicants hereby petition the United States Patent and Trademark Office to accept the enclosed color drawings represented as FIGS. 7A, 7B, 9A, 9B, 10A, 10B, and 10C in the above-mentioned application.

Applicants respectfully submit the enclosed color drawings are necessary since they are the only practical medium to illustrate the disclosed subject matter. The enclosed color drawings are of sufficient quality such that all details in the drawings are reproducible in black and white should the above-mentioned application be granted letters patent. Three (3) sets of color photocopies are attached. Black and white copies of these drawings are also enclosed. In the accompanying Utility Application, the specification has been amended to include the following language in the first paragraph of the Brief Description of the Drawings: "This patent or application file contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawing(s) will be provided by the Office upon request and payment of the necessary fee."

Enclosed is a check for \$130.00 as payment of the fee required under 37 C.F.R. § 1.17(h). Please charge any additional fees to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: February 7, 2002

Gunnar G. Leinberg

Gunnar G. Leinberg
Registration No. 35,584

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10971 U.S. PTO
10/068449
02/07/02

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Sherri A. Moscato

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paper or fee)

Sherri A. Moscato

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